

Applicant/Patentee: Thomas et al.

Application or Patent No. unassigned

Atty Docket No. ATC97-1

Filed or Issued: filed herewith

For: METHOD AND SYSTEM FOR REMOTE MONITORING AND CONTROL OVER A COMPUTER NETWORK

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(f) and 1.27(b)—INDEPENDENT INVENTOR**

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: METHOD AND SYSTEM FOR REMOTE MONITORING AND CONTROL OVER A COMPUTER NETWORK, described in

☒ the specification filed herewith
☐ application no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization
☐ person, concerns or organizations listed below*

***NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 1001 of Title 18 of the U.S. Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

C. Douglass Thomas

Albert S. Penilla

Joseph A. Nguyen

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

C. Douglass Thomas
Signature of Inventor

Albert S. Penilla
Signature of Inventor

Joseph A. Nguyen
Signature of Inventor

Date 6/15/98

Date 6/15/98

Date 6/15/98

DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket No. ATC97-1

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND SYSTEM FOR REMOTE MONITORING AND CONTROL OVER A COMPUTER NETWORK**, the specification of which,

(check one)

1. ☒ is attached hereto.
2. ☐ was filed on _____ as
U.S. Application No. _____
and was amended on _____.
3. ☐ was filed on _____ as
International PCT Application No. _____
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Benefits Claimed?

(Appl. No.) (Country) (Filing Date)

☐ Yes ☐ No

(Appl. No.) (Country) (Filing Date)

☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

Prior Provisional Application(s)

60/051,489 July 1, 1997
(Application No.) (Filing Date)

(Application No.) (Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s)

(Application No.) (Filing Date) (Status - patented, pending, abandoned)

(Application No.) (Filing Date) (Status - patented, pending, abandoned)

And I hereby appoint **C. Douglass Thomas (Reg. No. 32,947)** and **Albert S. Penilla (Reg. No. 39,487)** as my principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Send Correspondence To:

**C. Douglass Thomas
1193 Capri Drive
Campbell, California 95008**

Direct Telephone Calls To:

Attorney name at telephone number (650) 493-2100

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Typewritten Full Name of

Sole or First Inventor: C. Douglass Thomas

Citizenship: USA

Inventor's signature: C. Douglass Thomas

Date of Signature: 6/15/98

Residence: (City) Campbell

(State/Country) CA

Post Office Address: 1193 Capri Drive, Campbell, CA 95008

**Full Name of Second Joint
Inventor (if any):**

Albert S. Penilla

Citizenship: USA

Inventor's signature: Albert S. Penilla

Date of Signature: 6/15/98

Residence: (City) Sunnyvale

(State/Country) CA

Post Office Address: 821 S. Knickerbocker Drive, Sunnyvale, CA 94087

**Full Name of Third Joint
Inventor (if any):**

Joseph A. Nguyen

Citizenship: USA

Inventor's signature: Joseph A. Nguyen

Date of Signature: 6/15/98

Residence: (City) San Jose

(State/Country) CA

Post Office Address: 3410 Antonacci Court, San Jose, CA 95148